

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

sector 3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/399,492	09/20/99	BAZAN	J DX0903K
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0262/1008

EDWIN P CHING  
DNAX RESEARCH INSTITUTE  
901 CALIFORNIA AVENUE  
PALO ALTO CA 94304-1104

NOT ASSIGNED

1643

DATE MAILED:

10/08/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

Missing Parts due 12-8-99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 890.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

11/50/1999 TTRAH1 00000014 041235 0939492

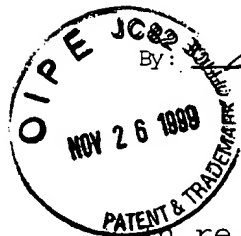
760.00 CH  
130.00 CH

01 C:101  
02 C:105

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box: Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231,

Atty. Docket No. DX0903K

on November 23, 1999



By:

*Lois E. Miller*

Lois E. Miller

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

J. Fernando BAZAN

Serial No.: 09/399,492

Filed: September 20, 1999

For: MAMMALIAN CYTOKINES;  
RELATED REAGENTS AND  
METHODS

Examiner: not yet assigned

Art Unit: 1643

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED

Palo Alto, California 94304

November 22, 1999

- 5 BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

- 10 In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on October 8, 1999, enclosed are the following to be made of record in the above-identified application:

- 15 1. Declaration and Power of Attorney signed by inventor J. Fernando Bazan in compliance with 37 CFR 1.63; and,
2. Copy of the "Notice to File Missing Parts of Application - Filing Date Granted."

Please charge the total of \$890.00, as calculated below, to  
DNAX Research Institute Deposit account no. 04-1239:

Fee for filing Missing Parts of Application		
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	20 - 20 =	0*
INDEP CLAIMS	3 - 3 =	0*
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED		
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.		

	\$130.00
RATE	FEE
	\$760.00
x22=	\$ 0
x78=	\$ 0
+250=	\$ 000
TOTAL	\$890.00

The Commissioner is hereby authorized to charge any  
5 additional fees associated with this paper or during the pendency  
of this application, or credit any overpayment to DNAX Research  
Institute Deposit Account No. 04-1239.

Please telephone the undersigned at (650) 852-9196, if there  
are any questions. This form is being submitted in duplicate.

Respectfully submitted,

November 22, 1999

By: Edwin P. Ching  
Edwin P. Ching  
Attorney for Applicants  
Reg. No. 34,090

DNAX Research Institute  
901 California Avenue  
Palo Alto, California, 94304-1104  
Tel. No. (650) 852-9196  
Fax No.: (650) 496-1200

Enclosures:

- 1) Copy of this Transmittal (in duplicate)
- 2) Signed Declaration and Power of Attorney
- 3) Copy of Notice to File Missing Parts
- 4) Return Postcard